

Electrochemical Texturing of Al-Doped ZnO Thin Films for Photovoltaic Applications

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Abstract: The processes during chemical and electrochemical etching of Al doped ZnO are investigated utilizing a scanning flow cell setup with real time detection of dissolved Zn ions. The rate of chemical dissolution was found to be a linear function of buffer and proton concentration in near neutral pH according to a transport limited reaction. In contrast, electrochemical etching is limited by the kinetics of the reaction and increases linearly with the applied current density. Due to this fundamental difference the dissolution of Zn can be either uniform over the whole surface or highly localized at active sites like grain boundaries. A combined approach of chemical etching and the well-controllable galvanostatic polarization thus allows a fine adjustment of the ZnO:Al surface texture for applications in silicon thin-film photovoltaic cells in order to improve their overall energy conversion efficiency.

Keywords: Photovoltaics, ZnO thin film, scanning flow cell, electrochemical texturing

Introduction

Photovoltaic energy conversion is a key approach towards sustainability of humanity and conservation of valuable natural resources. The improvement of both crystalline silicon and thin film solar cells has therefore attracted great interest over the last decade. Especially thin film solar cells offer a large potential regarding cost reduction and mass fabrication ^{1, 2}, however still lack in energy conversion efficiencies compared to other more expensive technologies. The introduction of thin layers of rough ZnO as a front contact and as a part of the back reflector in Si thin film solar cells can significantly reduce this drawback. While ZnO meets the high demands regarding optical transparency, electrical conductivity, and material properties regarding the growth of the Si absorber layers ⁶, it additionally provides light scattering that can lead to a considerable improvement of the overall solar energy utilization given an appropriate texture ³⁻⁵. Fig. 1 schematically describes a setup of a Si thin film solar cell with Al-doped ZnO front and back contacts (ZnO:Al), which has been deposited starting from the side of the incident light in the so-called superstrate configuration.

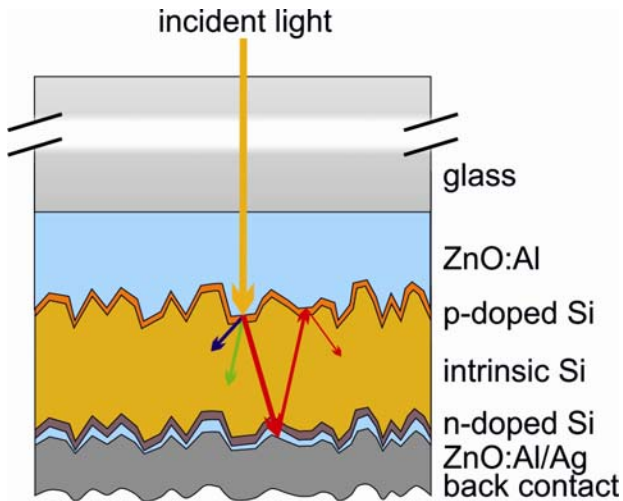
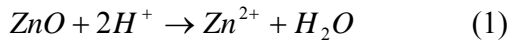


Figure 1: Schematic drawing of a Si thin film solar cell in superstrate configuration with indication of the light scattering effect at the rough ZnO-Si interface.

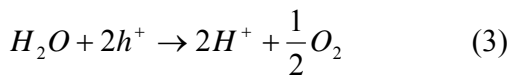
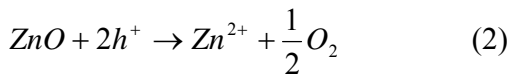
Incident light scatters at the interface of the front ZnO:Al contact with the actual absorber material, thus prolonging its path through the intrinsic Si layer and hereby increasing the probability of absorption. The light scattering effect is depended on the interface morphology, and is generally achieved by an artificial roughening of the deposited ZnO surface utilizing a chemical etching step in diluted hydrochloric acid ⁴. This results in crater-like structures in the ZnO:Al film with typical diameters of approximately 1 μm at surface roughnesses of $\delta_{\text{RMS}} \approx 135 \text{ nm}$ depending on the deposition and etching parameters. The additional texture is visible to the naked eye as a slight milkiness (haze), and increases the short circuit current of microcrystalline Si thin film solar cells up to about 45% compared to solar cells on as-deposited ZnO:Al ⁵. The etch process is constantly optimized with respect to the optical and electrical properties of the ZnO:Al film, as it is significantly influenced by the material properties of the film ^{7, 8} as well as by the choice of the etching agent ⁹. However, a detailed understanding of the relationship between etching conditions, surface/interface structure and photovoltaic performance is still lacking, thus inhibiting a more systematic approach for the texturing of ZnO films.

The etching mechanism on single crystalline ZnO is well understood since the early 1960s^{10,11}, and can be explained based on a dangling bond model for III-V semiconductors¹². The kinetics of the so-called chemical etching, i.e. the chemically induced conversion of ZnO into soluble complexes, has been investigated early by Fruhwirth¹³ and Gerischer¹⁴ and proceeds according to equation 1 in acidic media.



An analogous reaction with hydroxyl ions as etching agent forming $[\text{Zn}(\text{OH})_{2+X}]^{-X}$ ($X = 1$ or 2) species is possible due to the amphoteric character of zinc, and can be utilized as an etching process as well^{15,16}. Even though this amphoterity causes the conditions for thermodynamic stability of ZnO to be fairly narrow (e.g. around pH 8-10 without contribution of other ions^{17,18}), low dissolution rates are observed under moderate conditions due to either slow kinetics¹⁹ or transport limitations²⁰.

A dissolution process of so far minor relevance is the etching of ZnO by the anodization technique, which can lead to both oxide lattice decomposition and water oxidation according to equations 2 and 3, respectively²¹.



The reaction is driven by hole generation through tunneling processes and has been found to be promoted by increasing dopant concentrations²². For polycrystalline substrates with a high degree of intrinsic heterogeneity²³ and a significant number of defects, however, the etch processes are far more complex and the search for a sound etch model is hence still ongoing.

In the present work, the electrochemical and chemical characterization of ZnO:Al dissolution is combined with an online measurement of the Zn dissolution rate and a scanning electron microscopy post-analysis, in order to improve the understanding of the involved processes and the influence of the various texturing parameters. While this approach could be applied to any kind of sample, particular

focus is put on RF-sputtered ZnO:Al thin films that are known to exhibit structured surfaces with grains in the 10-100 nm range and a c-axis roughly directed along the surface normal^{24,25}. A fully automated, microelectrochemical scanning flow cell setup²⁶ is used to precisely address particular locations with a diameter of around 200 μm on a thin-film sample, and coupled with an UV-VIS spectrometer for real time monitoring of zinc concentration in the electrolyte.

Experimental Section

Fabrication of Al-doped ZnO thin films and profilometry

Polycrystalline and approximately 800 nm thick ZnO:Al films were deposited on a cleaned (10 \times 10) cm^2 glass substrate (Corning Eagle XG) using radio frequency magnetron sputtering in a vertical in-line system (VISS 300, VON ARDENNE Anlagentechnik GmbH, Dresden, Germany) from a ceramic target consisting of ZnO with 1 w/w% Al_2O_3 (Cerac inc. Milwaukee, WI, USA). The deposition was carried out at a substrate temperature of 300 $^\circ\text{C}$, a discharge power density of 2 W/cm^2 , and an Ar pressure of 0.1 Pa. Details about the process were published elsewhere²⁷.

Surface profilometric measurements were performed with a Dektak 6m Stylus Profiler (Veeco, Santa Barbara, CA, USA), using a stylus with a radius of 12.5 μm and a lateral step size of 0.33 μm . The data has been levelled by subtracting a linear background. Additionally, the curves were smoothed with a weighted moving average over a range of 15 data points for noise reduction.

Electrolyte preparation and electrochemical setup

The electrolytes were prepared from ultrapure deionized water (PureLab Plus, Elga, Celle, Germany) and p.a. grade chemicals (Merck KGaA, Darmstadt, Germany). Acetate buffers were prepared by dissolving 0.1 M sodium acetate and adjusting the pH by addition of 0.1 M HCl as monitored by a glass electrode (E-632 Digital pH-Meter, Metrohm AG, Herisau, Switzerland). Subsequently, the NaCl addition to all buffer solutions is adjusted with respect to the chloride already present to yield a total

concentration of 0.1 M. All electrochemical experiments were performed with a microelectrochemical flow cell. This setup uses a 200 or 400 μm O-ring to confine the surface under investigation by pressing a capillary cell on the substrate with a well defined force of 50 mN as monitored by a force sensor. The capillary itself is divided by a separating wall where one compartment holds the electrolyte supply channel and a $\mu\text{-Ag/AgCl}$ reference electrode²⁸, whereas the other holds the electrolyte drain and the Pt counter electrode. The cylindrical space created by the O-ring above the substrate provides the pathway for the electrolyte to flow from one compartment to the other at $0.26 \mu\text{l s}^{-1}$. Precise determination of the size of the wetted area was performed in a separate experiment by optical recognition of color changes on tantalum thin films due to anodization to $10 \text{ V}_{\text{SHE}}$ ²⁹. A detailed description of the scanning flow cell setup can be found in a former article²⁶. Each experiment was carried out on an individual location on the substrate to ensure that the initial surface properties were identical for all measurements. The positioning and data acquisition process is fully automated using an in-house developed LabView program and did not require user interaction.

On-line visible light spectroscopy (UV-VIS)

The electrolyte from the exit side of the scanning flow cell was mixed with a complexing agent (0.2 mM ZINCON solution in 0.2 M borate buffer pH 9.25) and injected into a micro-transmission cell in Z-geometry. A tungsten halogen lamp and the detector (EPP 2000, StellarNet, USA) were connected using 300 μm optical fibers. The absorption at 580 nm was measured once every 1.2 seconds and transformed into a concentration using the proportionality factor as determined by a calibration procedure with stock solutions. The delay time between tip of the capillary and detector was found to be 157 seconds at the applied solution flow rate. Further details about the setup and calibration procedure can be found in a former publication²⁶.

Scanning electron microscopy (SEM)

Scanning electron microscopy was performed with an acceleration voltage of 12.0 kV using a Zeiss LEO 1550 VP scanning electron microscope. The magnification of all images shown in this study was chosen as 30k. All samples were thoroughly rinsed with ultrapure water prior to the imaging process to remove residual precipitates from the electrochemical experiments.

Results and discussion

Chemical etching of ZnO:Al thin films

A series of measurement locations were chemically etched with 0.1 M acetate buffer possessing a chloride content of 0.1 M without applying any current. Four different etching solutions were prepared with pH values of 6.00, 6.50, 6.75 and 7.00. The zinc dissolution profiles during continuous solution flow are shown in figure 2. Each sample surface location was contacted by the microflow-capillary for 1000 s, followed by a purging step with the capillary off the surface for approximately 600 – 1000 s.

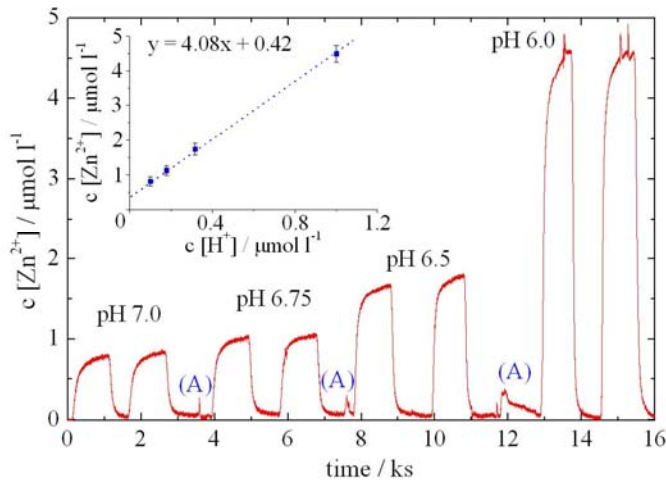
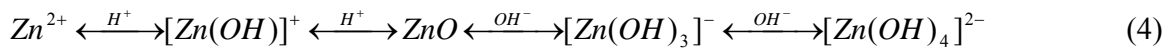


Figure 2: Zinc dissolution profile during chemical etching in acetate buffers of different pH as measured by online UV-VIS spectrometry. The inset shows the zinc plateau concentrations versus the relative proton concentration; error bars are estimated from the deviation in the plateau region. The spikes in between measurements (A) originate from changing the solution.

All measurements were performed twice; both the shape and the integrals of the profiles obtained with identical parameters are highly reproducible. It is obvious that zinc exhibits a dissolution plateau with at least 85% of its final value reached within the first 200 s. The slight inclination of the plateaus can be attributed to the non-quantitative transportation of zinc in the flow system, which slowly and linearly broadens the signal due to precipitation-dissolution reactions at the tube walls in addition to typical hydrodynamic peak broadening. The peaks during the non-contact time (A) are due to the exchange of the electrolyte during continuous flow. The decreasing pH causes a steplike increase in the solubility of zinc³⁰, so that residual zinc trapped in the flow system is immediately purged. Since the amount of Zn detected upon changing the electrolyte is minor, it has been neglected in the further discussion.

The height and the integrated area of the plateau of the characteristic concentration profiles of each location increase steadily with a decrease of the pH of the etching solution. Plotting the mean of the linear plateau regions versus the corresponding proton concentration yields a linear relationship in the inset of figure 2. This linearity at low dissolution rates appears reasonable considering the pH dependent series of aqueous Zn-species according to Ref. 18.



In near neutral or slightly acidic pH, the dissolution of ZnO to the mono-hydroxy complex is the dominant reaction and its formation kinetics is first order with respect to the proton concentration^{14, 26}. The local depletion of protons at the electrode surface, which is of major importance for the chemical dissolution in near neutral pH, is reduced by the buffer system. This fact accounts for the steep slope in figure 2 inset, where the dissolved zinc concentration exceeds the proton concentration by approximately a factor of 4. In order to investigate whether the reaction is under diffusion control despite the buffer effect, the acetate buffer concentration was varied in a further set of experiments (see Figure 3).

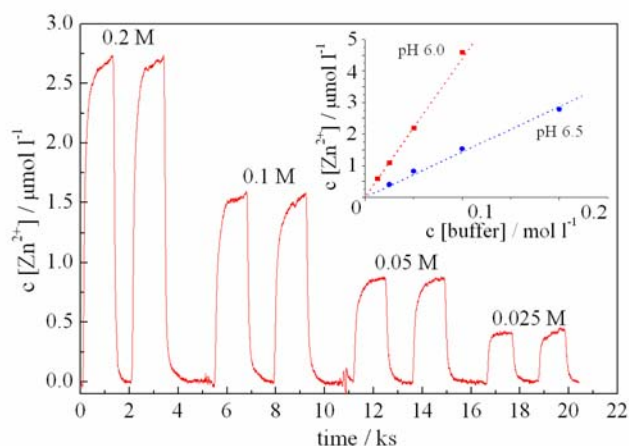


Figure 3: Zinc dissolution profile during chemical etching in pH 6.5 acetate buffers of different concentrations. Inset: Plot of the zinc plateau concentrations versus buffer concentration for pH 6.0 and 6.5.

The Zn concentration in the product stream dissolved from the surface increases with an increase in the buffer concentration. A linear relationship can be observed, which undoubtedly proves that the process is under transport control even at buffer concentrations up to 0.2 M. The wide region of transport control found by Guspriel and Riesenkauf³¹ up to 0.5 mM H₂SO₄ therefore applies for the present buffer systems as well. This further implies that chemical dissolution in the respective pH values between 6.0 and 7.0 is typically transport controlled also in the absence of a buffer system. In the latter case, however, the pH at the surface would immediately shift to alkaline due to the excessive proton consumption, leading to a drastic reduction in dissolution of Zn.

Besides the concept of proton transporting ions, also the chemical nature of the buffer itself affects the dissolution process and thus its rate. Acetate, which acts as a complexing agent for Zn ions, promotes the dissolution reaction¹⁴ and therefore causes the linear fit in figure 2b not to cross the origin. The parallel background etching process can be estimated to about 0.4 μmol l⁻¹ in the experiment presented. The absence of this effect in figure 3 is caused by the fact that a lower buffer concentration both scales the proton and acetate induced dissolution, thus giving a linear fit crossing the origin.

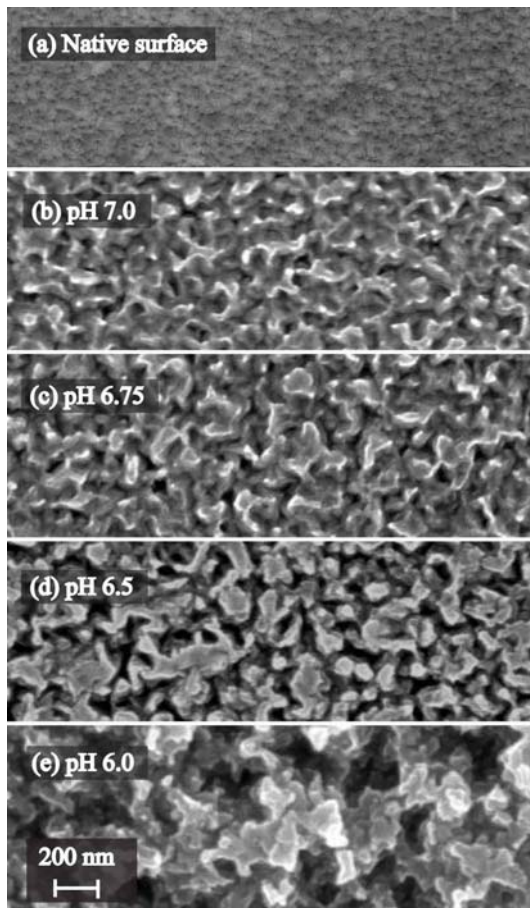


Figure 4: SEM micrographs (mag. 30k) taken before (a) and after (b-e) 1000 s of chemical etching in acetate buffers of various pH according to Figure 1.

The surface structure of the sample locations as determined by SEM after the chemical etching process for 1000 s at various pH values is shown in figure 4. A significant surface roughening occurred with structural features in the 100 nm range remaining. It appears that the surface topography is influenced by the grain boundaries initially present on the substrate, even though the etching process negatively influences the fineness of the structures displayed. Furthermore, for all etching parameters a mainly grooved surface develops with the original surface level still intact, only the sample treated with pH 6.0 displays harsh roughening also in depth (see figure 8). This can be considered as an intermediate situation compared to the topographies obtained by both HCl and KOH etching¹⁵, as the high concentrations used in these processes merely form crater like structures that exceed the grain size significantly.

Electrochemical etching of ZnO:Al thin films

The electrochemical etching process was performed by means of galvanostatic anodization at various current densities in 0.1 M NaCl. A matrix of locations on the substrate was programmed and automatically addressed with the scanning flow cell for 300 s each. Figure 5 shows two potential transients for each current density; the congruency of identical experiments again underlines the reproducibility of the method.

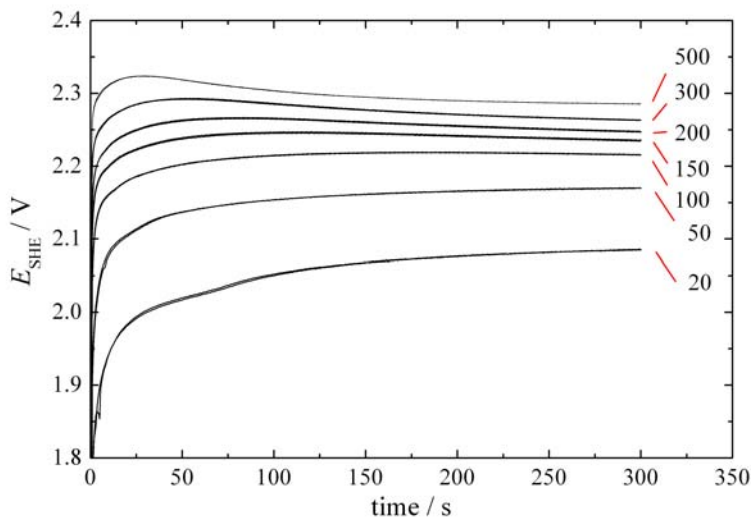


Figure 5: Potential transients recorded during 300 s polarization of ZnO:Al in 0.1 M NaCl solution at different applied current densities ($\mu\text{A cm}^{-2}$; see inset)

Initially the potential transients for higher current densities rise sharply to certain peak potentials, which appear as plateaus at lower current densities, and then slightly drop again. After approximately 200 s all potential transients reach quite stable values with increasing magnitude according to the applied current densities. These final potentials rest between 2.0 and 2.3 V_{SHE} , an indication for an early onset for film breakdown due to the high donor concentration in the thin ZnO layer²². Moreover, the narrow blocking region can also be attributed to the high impact of the grain boundary density on the anodization behavior. To quantify the magnitude of film decomposition, zinc dissolution profiles were recorded in parallel and are shown in figure 6. The similarity between the electrochemical profile and the chemical

dissolution shown in figure 2 is strong, the absence of an induction period for etching and the slight inclination of the plateau prove to be characteristic of the flow system. Both the chemical and electrochemical etching processes are therefore steady state reactions given the electrolyte flow present in all experiments.

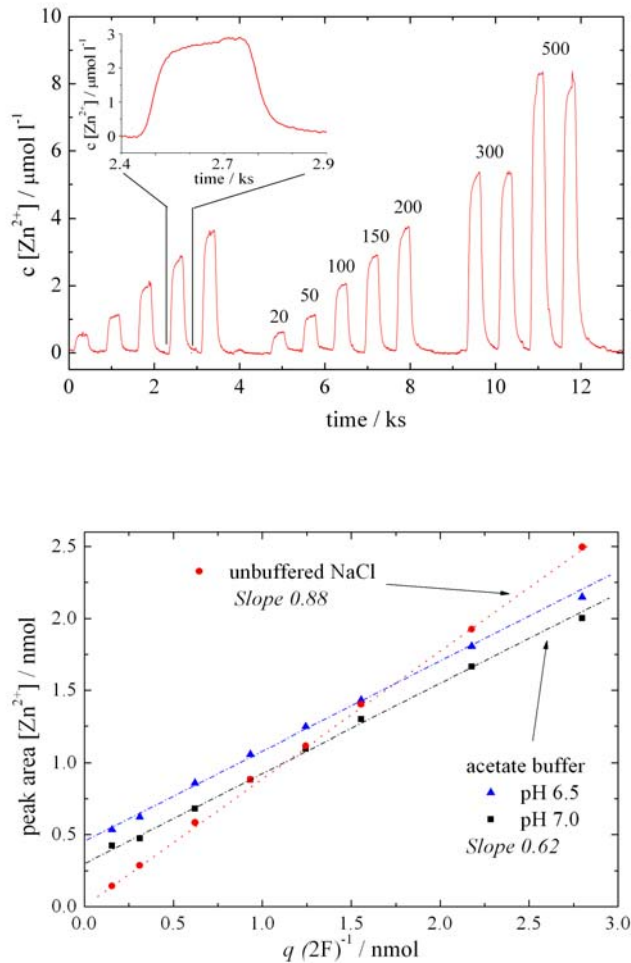


Figure 6: a) Zinc dissolution profiles recorded during galvanostatic anodization of ZnO:Al at different current densities (given in $\mu\text{A cm}^{-2}$) in unbuffered 0.1 M NaCl. b) Plot of the peak integrals vs. applied charge divided by the Faraday constant and assuming a two-electron process for different electrolyte systems.

In the galvanostatic experiments, the consumed charge can be directly compared to the respective amount of Zn detected at the UV-VIS, evaluated by a numerical integration of the peak area. The linear relationship in figure 6b implies that the current efficiency for the anodic dissolution reaction is independent of the applied current density within the range of experimental parameters. In the case of 0.1 M NaCl solution a slope of 0.88 corresponds to a current efficiency of 88 %, whereas for the buffered solutions the current efficiency decreases to 62 %. Note that the addition of a buffer reduces the current efficiency in the electrochemical experiment due to the scavenging of produced H^+ ions, in contrast to the chemical experiments where it provides a constant source of ions for the lattice attack. The shift in the axis intercept apparent in the latter systems originates from the chemical dissolution reaction that takes place in parallel to the electrochemical reactions during the experiment. The offset is proportional to the rate of chemical dissolution and corresponds well to the results presented in the former section.

Independent of a parallel chemical dissolution, electrochemically induced lattice decomposition (equation 2) and water oxidation (equation 3), with subsequent chemical etching due to a shift in the surface pH (equation 1), can be responsible for the dissolution of the ZnO thin film. These two reactions are in direct competition and most likely take place at the same active sites (e.g. points with the highest donor or defect density), the presented results suggest a coexistence of both processes. On the one hand, exclusive lattice decomposition can be excluded since the current efficiency for dissolution significantly deviates from 100%. Additionally, a buffer effect would not be expected in this case, however it is clearly obvious from the decreasing slope in figure 6b. On the other hand, the water decomposition reaction itself strongly depends on the electrolyte system, especially the buffer capacity of the surrounding medium. If present exclusively, a largely suppressed Zn signal would be expected in the used buffer systems, since the buffer capacity exceeds the measured zinc concentrations (figure 6a) by approximately 5 orders of magnitude. More work, however, will be necessary to identify the exact mechanism or the individual contribution of these two possible pathways for the electrochemical ZnO dissolution.

Nevertheless, an immediate consequence of both reactions is a very high localization of the etching process to areas of superior electrochemical activity. Certainly this effect is evident for direct lattice decomposition, but must not be neglected also for surface pH changes even in buffered solutions. As a consequence the selective removal of ZnO initiates and proceeds from the grain boundary sections³², which becomes obvious from the images taken after experiments with different current densities in unbuffered NaCl solution in Figure 7.

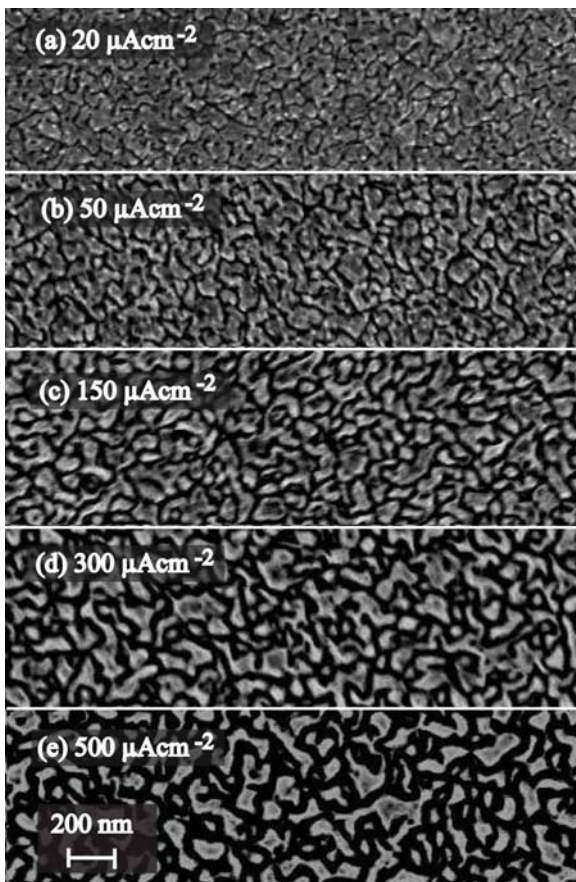


Figure 7: SEM images of ZnO:Al thin film locations subject to 300 s of galvanostatic polarization in un-buffered 0.1 M NaCl solution after experiments with different current densities.

The electrochemical etching procedure is highly selective towards the grain boundaries, while in contrast to chemical etching the surface level seems to remain intact independent of the current density used. This predominant activity of the boundary sections together with their high density is also consistent with the early film breakdown behaviour in the electrochemical experiments. Moreover, the

electrochemically induced pH shift by water oxidation does not cause an integral change in the film thickness, the proton attack rather remains localized to the grain boundary regions. This close-range effect of the protons generated as well as the lattice decomposition was verified by profilometric measurements after electrochemical etching, and compared to the chemical etching in figure 8.

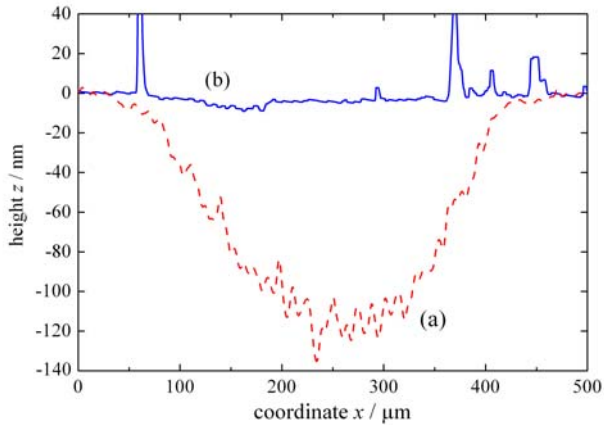


Figure 8: Surface profile of a ZnO:Al surface chemically etched in 0.1 M NaCl solution with 0.1 M acetate buffer at pH 6.0 for 1000 s (red dashed line), and electrochemically etched in an un-buffered 0.1 M NaCl solution at $500 \mu\text{A cm}^{-2}$ for 300 s (blue solid line) utilizing a $400 \mu\text{m}$ O-ring cell.

The profilometric investigation with a needle of $25 \mu\text{m}$ in diameter is unable to resolve the local etching features at the grain boundaries in the nanometer range and therefore only displays the integral surface level. The original surface level appears nearly unchanged in the case of electrochemical etching despite the massive ZnO dissolution, which exceeds the chemical etch by approximately a factor of 2 in these particular experiments with the $400 \mu\text{m}$ O-ring cell (1.04 nM during chemical, 1.85 nM during electrochemical etching). This implies that the grooves in electrochemical etching must exhibit a very high aspect ratio reaching deep into the substrate. In contrast, the chemical etching in 0.1 M acetate buffer of pH 6.0 generates a pit due to a homogeneous removal of material, which qualitatively reflects the assumed flow velocity profile of the electrolyte in the capillary³³. The observed spikes that are present in the region of the O-ring/surface contact result from disturbances of the probing needle by residual surface impurities most probably caused by precipitation reactions during the measurement and

are not necessarily real topographical features of the ZnO:Al film. Very low scan speeds did lower, but not eliminate these signals.

In summary, galvanostatic etching of ZnO is a well-controllable and reproducible action that proceeds with high selectivity at grain boundaries. Since this technique can be easily combined with a conventional chemical etch, typical microscopic surface structures can thus be systematically refined on the nano scale. The impact of these findings on the haze and light scattering capabilities of ZnO:Al thin films and their relevance for solar cell applications will be investigated in a further study.

Conclusions

The chemical etching of RF-sputtered ZnO:Al at near neutral pH values was found to be diffusion-controlled even at high concentrations of acetate buffer (0.2 M), since the Zn dissolution rate increases linearly with the concentration of H⁺ ions as well as of the buffer. The selectivity of the chemical etching towards the grain boundaries quickly vanishes as the rate increases, so that the dissolution becomes uniform over the whole surface.

The electrochemical etching procedure on the other hand dissolves ZnO with very high selectivity directly at the grain boundaries, which results from a direct lattice decomposition reaction and from local pH shifts due to water oxidation at these highly active sites. As a consequence the electrochemically formed grooves demonstrate a very high aspect ratio depending on the applied current density and etching time.

The improved understanding of the different dimension of chemically etched pits and electrochemically corroded grain boundaries allows now for a combined, systematic approach to texture ZnO:Al thin films that will significantly contribute to the optimization of its optical properties for photovoltaic applications.

Acknowledgement

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Supporting Information Available.

Additional SEM images of the electrochemically etched ZnO:Al surfaces are provided allowing estimation of the reproducibility of the wetted area. Reflected light microscopy is shown and displays increased haze for surfaces prepared at higher current densities.

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